

Form **4653**  
(June 1970)  
Department of the Treasury  
Internal Revenue Service

### Notification Concerning Foundation Status

Do not write in this space  
(For IRS use only)  
 Classification code  
 Letter code

Please print or type

Name of organization <b>Johns Hopkins University</b>	Employer Identification Number <b>520595110</b>
Number and street <b>34th. and Charles Street</b>	
City or town, State and ZIP code <b>Baltimore, Maryland 21218</b>	

Please place an "X" in the one numbered block that applies to your organization, provide any additional information called for, and return the form promptly to the Internal Revenue Service Center, 11601 Roosevelt Boulevard, Philadelphia, Pennsylvania 19155. Do not check a block until you have read the instructions and Code definitions applicable to that block. Section references are to the Internal Revenue Code of 1954.

1  We are a private foundation within the meaning of section 509(a). (If you are a private foundation, are you claiming status as an operating foundation within the meaning of section 4942(j)(3)? . . .  Yes  No. If "Yes," attach a statement setting forth all the facts upon which you base your answer including an identification of the clause of section 4942(j)(3)(B) that is applicable.)

We are not a private foundation because we are:

- 2  A church. Section 170(b)(1)(A)(i).
- 3  A school. Section 170(b)(1)(A)(ii).
- 4  A hospital. Section 170(b)(1)(A)(iii).
- 5  A medical research organization operated in conjunction with a hospital. Section 170(b)(1)(A)(iii).
- 6  A Governmental unit. Section 170(b)(1)(A)(v).
- 7  An organization operated for the benefit of a college or university owned or operated by a Governmental unit. Section 170(b)(1)(A)(iv).  
(Complete the Financial Schedule on page 2.)
- 8  An organization that normally receives a substantial part of its support from a Governmental unit or from the general public. Section 170(b)(1)(A)(vi).  
(Complete the Financial Schedule on page 2.)

9  An organization that normally receives no more than 1/3 of its support from gross investment income and more than 1/3 of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions. Section 509(a)(2).  
(Complete the Financial Schedule on page 2.)

10  An organization operated solely for the benefit of and in connection with one or more of the organizations described in 2 through 9 (or for the benefit of one or more organizations described in section 501(c)(4), (5), or (6) and also described in 9 above), but not controlled by disqualified persons other than foundation managers. Section 509(a)(3).  
(Attach a statement identifying and describing the organization(s) for whose benefit you are operated and the relationship between you and the organization(s).)

11  An organization organized and operated to test for public safety. Section 509(a)(4).

12  We are not sure of our classification.  
(Attach a copy of your most recently filed information return, Form 990-A, if you filed one, and a statement describing your operations and explaining why you are not sure of your classification. If you think you may be described in 7, 8, or 9, complete the Financial Schedule on page 2.)

I declare that I have examined the information entered on this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete. (Must be signed by a principal officer, manager, or authorized trustee of the organization.)

*Robert F Kerley*  
(Signature)

*23 July 70*  
(Date)

Vice President for Administration, The Johns Hopkins University  
(Title)

School of Hygiene and Public Health

615 N. Wolfe Street / Room 1515  
Baltimore MD 21205-2179  
(410) 955-9253/ FAX (410) 955-0258

Finance and Administration

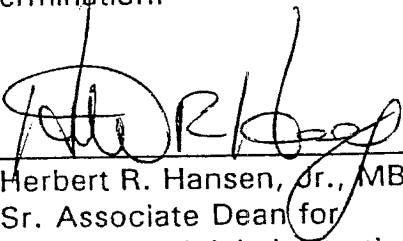
Herbert R. Hansen Jr.  
Senior Associate Dean

CERTIFICATION OF INTERNAL REVENUE CODE  
STATUS OF  
THE JOHNS HOPKINS UNIVERSITY

The Johns Hopkins University, Baltimore, Maryland 21218 is incorporated under the laws of the State of Maryland. The fiscal year of the University ends on the last day of the month of June.

Attached is a copy of the most recent letter from the Internal Revenue Service dated June 30, 1971 confirming the exempt status of the University under Internal Revenue Code, Section 501 (a), as an organization described in Section 501 (c) (3). The Internal Revenue Service has neither revoked nor threatened to revoke this or any prior determination.

Also attached are copies of Form 4653 filed by the University on July 23, 1970 notifying the Internal Revenue Service of its foundation status and their October 20, 1970 acknowledgement that the University is not a private foundation as defined in Section 509 (a) of the Internal Revenue Code. The Internal Revenue Service has neither revoked nor threatened to revoke this determination.



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Herbert R. Hansen, Jr., MBA, CPA  
Sr. Associate Dean for  
Finance and Administration

\_\_\_\_\_  
Date

The  
**JOHNS HOPKINS**  
**UNIVERSITY**

School of Hygiene and Public Health  
**Office of Research Administration**  
615 N. Wolfe Street, Suite 1600  
Baltimore, MD 21205  
(410) 955-1856/FAX (410) 955-0258

**CERTIFICATE REGARDING TAX STATUS OF JOHNS HOPKINS UNIVERSITY**

The undersigned, Herbert R. Hansen, Jr., the Senior Associate Dean of Finance and Administration of Johns Hopkins University and as such authorized to execute this certificate in the name of Johns Hopkins University, hereby certifies that to the best of his knowledge, information and belief, the following facts are true, correct and complete:

Johns Hopkins University has received a ruling or determination letter from the Internal Revenue Service stating that Johns Hopkins University qualifies as an organization described in Section 501(c)(3) of the Internal Revenue Service Code and in the particular section or sections of the Internal Revenue Service Code identified below.

_____	Sections 509 (a)(1) and 170 (b)(1)(a)(vi)
_____	Section 509 (a)(2)
_____	Section 509 (a)(3)
<u>  X  </u>	Sections 509 (a)(1) and 170 (b)(1)(a)(i), (ii), (iii), (iv), or (v).

Notice of a change in the status of Johns Hopkins University under the section or sections of the Internal Revenue Service Code identified above has not been made to the public (such as by publication of the Internal Revenue Bulletin), and the Internal Revenue Service has not given notice to Johns Hopkins University that it will be deleted from such status.

Johns Hopkins University  
Herbert R. Hansen, Jr.

Dated

\_\_\_\_\_  
Senior Associate Dean  
Finance and Administration

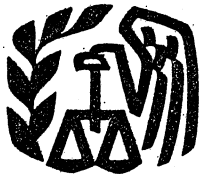
1 31 Hopkins Plaza, Baltimore, Md. 21201  
2 P.O. Box 270, Newark, N. J. 07101

3 401 N. Broad St., Philadelphia, Pa. 19108  
4 P.O. Box 2488, Pittsburgh, Pa. 15230

5 400 N. 8th St., Richmond, Va. 23240  
6 800 Delaware Ave., Wilmington, Del. 19801

## Department of the Treasury

Address any reply to DISTRICT DIRECTOR at office No. 1



<b>RECEIVED</b>
JOHNS HOPKINS UNIVERSITY
JUL 1 1971
CONTROLLERS OFFICE

### District Director Internal Revenue Service

Date: JUN 30 1971

In reply refer to:  
At: FA:1150

▷ Johns Hopkins University  
34th and Charles Streets  
Baltimore, Maryland 21218

Gentlemen:

On the basis of your statement and the information recently submitted regarding the admissions policy of your institution, and the publicizing thereof, and with the understanding that such policies will remain in effect, we confirm the exempt status of your institution under Internal Revenue Code, Section 501(a), as an organization described in Section 501(c)(3).

This confirmation does not preclude a reevaluation of your admissions policy at a later date. It also does not preclude an examination of the operations of your institution to determine if the policy as described in your statement is being implemented.

Very truly yours,

*Irving Machiz*

Irving Machiz  
District Director

Department of the Treasury

**Internal Revenue Service**  
Washington, DC 20224

Date:  
10-20-70

In reply refer to:



JOHNS HOPKINS UNIVERSITY  
34TH AND CHARLES STREETS  
BALTIMORE, MD

21218

Gentlemen:

Based on the information you recently submitted, we have classified you as an organization that is not a private foundation as defined in section 509(a) of the Internal Revenue Code.

Your classification is based on the assumption that your operations will be as stated in your notification. Any changes in your purposes, character, or method of operation must be reported to your District Director so he may consider the effect on your status.

Sincerely yours,

A handwritten signature in cursive script, appearing to read "J. A. Deleso".

Chief, Rulings Section  
Exempt Organizations Branch

Department of the Treasury

Internal Revenue Service  
Washington, DC 20224

Date: OCT 29 1978

In reply refer to:

T:PS:T:ECB



Mr. George D. Stewart  
Office of the Treasurer  
The Johns Hopkins University  
Baltimore, Maryland 21218

Dear Mr. Stewart:

This is in reply to your letter of October 7, concerning the exemption of the above-named University from Federal income tax.

On January 28, 1935, we issued a ruling letter to "The Johns Hopkins University," Baltimore, Maryland, in which it was held to be exempt from Federal income tax under section 101(6) of the Revenue Act of 1934. This ruling was affirmed on May 6, 1938 and December 5, 1951, under section 101(6) of the Revenue Act of 1936 and section 101(6) of the Internal Revenue Code of 1939.

The exemption of the University is currently applicable under section 501(c)(3) of the 1954 Code which corresponds to section 101(6) of the above-named Acts and the 1939 Code.

We hope this information will be helpful to you.

Sincerely yours,

*A. L. O'Connell*

Chief,  
Technical Services Branch