



# NIH AND NSF UPDATE

## JOHNS HOPKINS UNIVERSITY CELEBRATION OF RESEARCH ADMINISTRATOR DAY

OCTOBER 14, 2021





# NIH UPDATE

SHAREL A. BROWN, SCHOOL OF MEDICINE



# OTHER SUPPORT

- Updated format page
- Required for submissions **due on or after January 25, 2022**. *Encouraged* from May 25, 2021.
- Updated requirements:
  - Supporting documentation
  - Immediate notification of undisclosed Other Support
  - PI Signatures – JHU implementation Delayed to January 25, 2022

[Other Support | grants.nih.gov](https://grants.nih.gov)

[Other Support FAQs](#) – updated regularly!

# OTHER SUPPORT DISCLOSURE CLARIFICATION

## Must Disclose

- Resources and/or financial support from foreign and domestic entities.
  - *Financial support for lab personnel*
  - *High-value materials*
- Consulting agreements where the investigator is conducting research
- In-kind contributions

## No Need to Disclose

- Training Awards, prizes or gifts
- Broadly available resources
- Non-research consulting activities
- Institutional Start-up support

# BIOSKETCH

- Required – submissions due **on or after January 25, 2022**. *JHU implemented on May 25, 2021*
- Updated format page
  - Section A (*Personal Statement*): List ongoing/completed research projects from past **three** years
  - Section B (*Positions, Scientific Appointments & Honors*): List in reverse chronological order
  - Removal of Section D (Non-Fellowship versions)
- New NIH inbox for inquiries related to changes [nihosbiosketch@nih.gov](mailto:nihosbiosketch@nih.gov)

[Biosketch Format Pages, Instructions and Samples | grants.nih.gov](#)

[NOT-OD-21-073: Upcoming Changes to the Biographical Sketch and Other Support Format Page for Due Dates on or after May 25, 2021 \(nih.gov\)](#)

# UPDATED GRANTS POLICY STATEMENT

- Revised for fiscal year 2021 – April 2021 version
- Applies to awards with budget periods beginning **ON or AFTER October 1, 2020**
- Prior version (December 2019) applies to grants with budget periods **prior to October 1, 2020.**
- Key Changes: Chapter 8 (Administrative Requirements)
  - Clarifies requirements for PI Change in Status/Absence prior approvals.
  - Updated instructions for Federal Financial Report submission

NOT-OD-21-107: Publication of the Revised NIH Grants Policy Statement (Rev. April 2021) for Fiscal Year 2021

# UPDATED PROCESS FOR REQUESTING DRAWDOWNS OUTSIDE THE LIQUIDATION PERIOD

- Affects closeout timing
- Requires Grants Management Specialist (GMS) prior approval
  - PMS subaccount number (document number from NoA)
  - NIH Grant number
  - Amount Requested
  - Justification
- Considered on case-by-case basis

[NOT-OD-21-149: Updated Process for Requesting Drawdowns Outside of the Liquidation Period \(nih.gov\)](#)

# IN PROGRESS: NEW UNIQUE ENTITY IDENTIFIER

- From DUNS: 00-191-0777 (suffix: 0000) to JHU UEI: **FTMTDMBR29C7** – *Not as easy to remember!*
- Implementation originally planned for April 2022 moved forward to coincide with application review cycles.
  - Grants.gov confirmation emails now reflect the UEI instead of the DUNS.
  - Increased usage under Forms-G.
  - Will be added to NOA's.
  - Reflected in the institutional profile.



# UPCOMING: COMMONS ID'S REQUIRED FOR ALL KEY PERSONNEL

- Effective: submissions with due dates on or after January 25th 2022 to **NIH, AHRQ, FDA** and **ORD/VA**.
- ASSIST Validations:
  - initially a warning, then an error.
  - ASSIST will check for blank credential field or invalid entry.
  - Multi-component submissions If there are people on multiple components, they must be listed with their commons ID each time.

[NOT-OD-21-109: Expanding Requirement for eRA Commons IDs to All Senior/Key Personnel \(nih.gov\)](#)

# UPCOMING: FORMS-G

- Effective for applications to NIH, FDA and AHRQ with due dates **on or after January 25th 2022.**
- Substantive changes to most of the forms.
  - UEI replaces DUNS
  - New Biosketch Format Implemented
  - New Other Support Format Implemented
  - Commons IDs Required for All Key Personnel
- Application guides and program announcements updated in the coming months.

**During the transition period both form sets will be available at the same time. Make sure you choose the correct one!**



# NSF UPDATE

MARY LOUISE HEALY, KRIEGER SCHOOL OF ARTS AND SCIENCES



# CURRENT AND PENDING SUPPORT

- Must include information on objectives and overlap with other projects
- Table of pre- and post-award disclosures required (September 1, 2021) [NSF Pre-award and Post-award Disclosures Relating to the Biographical Sketch and Current and Pending Support](#)

NSF Pre-award and Post-award Disclosures<sup>1/2/3</sup>  
Relating to the Biographical Sketch and Current and Pending Support  
September 1, 2021

Table Key

- = for new support only
- ◆ = if undisclosed at the time of proposal submission

Type of Activity	Biographical Sketch	Current & Pending Support	Facilities, Equipment & Other Resources	Project Reports	Post-Award Information Term & Condition	Disclosure Not Required
Undergraduate, graduate, and postdoctoral training, including location, major/area and degree and year	✓					
Academic, professional <sup>1</sup> or institutional appointments, whether or not remuneration is received, and, whether full-time, part-time, or voluntary	✓					
All projects (including this project) currently under consideration from whatever source, and all ongoing projects, irrespective of whether support is provided through the proposing organization, another organization or directly to the individual		✓		✓*	✓◆	
In-kind contributions that support the research activity for use on the project/proposal being proposed			✓			

<sup>1</sup> A comprehensive listing of post-award notifications and requests for prior approval is contained in the general conditions incorporated by reference into each NSF award.

<sup>2</sup> This table does not address disclosures submitted regarding Collaborators and Other Affiliations that are used to manage reviewer selection.

<sup>3</sup> This table does not address disclosures to grantees of financial conflicts of interest under PAPPG Chapter IX.A.

# BIOGRAPHICAL SKETCH

- Page limit increased from two to three pages
- Table of pre- and post-award disclosures required (September 1, 2021) [NSF Pre-award and Post-award Disclosures Relating to the Biographical Sketch and Current and Pending Support](#)
- Reminder: approved formats
  - SciENCv
  - NSF Fillable pdf
- Frequently Asked Questions from 2020 PAPPG [Research.gov - About Proposal Preparation and Submission](#) (see page 2)

# NEW PAPPG, NSF 22-1

- Effective for proposals submitted or due on or after October 4, 2021 (now in effect)
- Incorporates biosketch and current and pending support changes
- Adds planning proposals and Career-Life Balance supplemental funding requests as new proposal types
- Requires AOR to certify, for travel proposals, that the meeting organizer has a written policy or code-of-conduct addressing harassment
- See FAQ at [FAQs related to PAPPG \(NSF 22-1\)](#)

# APPLICATION PLATFORMS

- New Grants.Gov application guide, effective for proposals due on or after October 4, 2021 [grantsgovguide1021](#)  
[NSF Grants.gov Application Guide - October 2021 | NSF - National Science Foundation](#)
- Research.Gov now supports:
  - Both single submission and collaborative proposals
  - Full proposals, renewals, accomplishment-based renewals
  - Research, RAPID, EAGER, RAISE, FASED, Equipment, Travel, Conference, and Ideas Lab Preliminary proposals
  - [Research.gov - About Proposal Preparation and Submission](#) (includes full table of proposal submission capabilities)

# MERIT REVIEW SURVEY

- NSF seeking to understand elements of peer review process
  - Perceptions of fairness, quality, satisfaction
  - Burden for individual directorates and NSF as a whole
- Survey link emailed from Insight Policy Research (independent contractor) to applicants and reviewers October 19
- Survey directed to those who've submitted and/or reviewed proposals between October 1, 2018 and September 30, 2020
- Faculty members who've submitted/reviewed in the time frame above should check spam folder if survey is not in inbox.
- Voluntary and confidential; will take 20 minutes or less



# SUMMARY OF UPDATED GUIDANCE

## NSF

- Current and pending support
- Biographical sketch
- New PAPPG effective October 4, 2021
- Update on application platforms
- Merit review survey to applicants and reviewers

## NIH

- Other support
- Biosketch
- Grants Policy Statement
- Updated process for requesting drawdowns outside the liquidation period
- Commons ID Requirements Expanded
- Unique Entity Identifier
- Forms G

# QUESTIONS? COMMENTS?





# THANK YOU!

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