

	Criteria for Decision-making				
	Basis for Triggering Criteria	Country Transmission Classification* (WHO)	Local Mitigation Measures	Local IRB Directions	Changes to Research
Phase 0	Potential, but no immediate threat of spread to local researchers and employees	Imported cases only; Under investigation; or Interrupted transmission	No institutional closures	No protocol or reporting changes likely	Develop contingency plans
Phase 1	Limited number of cases, minimal risk to researchers and subjects. All cases acquired from outside or known sources of infection; no to minimal local cases	Local transmission	Limited institutional closures (e.g. targeted nursing homes and schools)	Protocol or reporting changes or postponement possible	<p>Same as Phase 0</p> <p>Screen participants for potential infectious risk</p> <p>Cease sponsor on-site monitoring visits</p> <p>IRBs will implement triage procedures to prioritize reviews to manage workload</p>
Phase 2	Moderate to significant risks to researchers or subjects; Disruptions to ability to conduct research;	Local transmission or Community transmission	Institutional closures and measures to work from home	Protocol or reporting changes or postponement possible	<p>Same as Phase 1</p> <p>Defer enrolling new participants except for Tier 1 and limited Tier 2 studies.</p>

	Local community spread; High absenteeism; Sporadic institutional closures				<p>Implement tiered procedures for enrollment/research procedures:</p> <p>Tier 1: allowable*</p> <p>Tier 2: must be strongly justified*</p> <p>Tier 3: direct contact should be paused</p>
Phase 3	Significant risks to researchers or subjects; Massive regional disruption; Widespread institutional closures	Community transmission (where there's limited ability to relate cases through transmission chains)	Widespread institutional closure (schools, large events, public spaces, businesses) limitations on transport,	Protocol or reporting changes or postponement likely	<p><i>Phase 3: Updated and effective on 4/3/2020</i></p> <p>Tier 1:</p> <p>Tier 1 studies must cease any in-person visits specifically for research purposes that require subjects to travel from out of state where the Governor of that state has issued a no travel order.</p> <p>All other in-person interactions may only continue for Tier 1 studies if the PI presents a compelling justification to continue these interactions and the petition to continue is approved by the IRB. Studies at the Sidney Kimmel Cancer Center or JH-ACH must seek the approval to continue in-person activities from their respective review committees.</p>

Requests for new enrollment in this Tier must continue to be submitted to the IRB, SKCCC or JH-ACH review committee as previously established.

Tier 2:

Tier 2 studies must cease all in-person interactions. The IRB will no longer consider requests to continue in-person activities for this Tier. Data collection that does not require in-person participant interaction (e.g. telephone or online) may continue.

No new enrollment is permitted for Tier 2 studies.

Tier 3:

Tier 3 studies must not enroll new participants in studies requiring in-person interaction nor continue to conduct in-person visits. Data collection that does not require in-person participant interaction (e.g. telephone or online) may continue.